



Gr/366#2  
12/24/02  
PATENT  
10318US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

ANTHONY O. BANAL, GORDON L. HALL,  
LORYN D. KLUMPER, KRAIG A. KOHOUT

Serial No. 10/053,173  
Filed: January 17, 2002

For: GROUNDED MOLDING TOOL FOR  
MANUFACTURE OF OPTICAL  
COMPONENTS

Examiner:

Group Art Unit: 3661

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSIT-  
ED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS  
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ERIC D. LEVINSON, REG. NO. 35,814

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. 1.97(b)(1)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

The references listed on the enclosed form PTO-1449 are presented pursuant to Applicants' duty of disclosure. A copy of each reference is also enclosed.

The Examiner's attention is also directed to the following co-pending application, copy enclosed, which is assigned to the same assignee as the present application: "Multi-Generation Stampers" by Donald J. Kerfeld, U.S. Serial No. 09/778,482, filed February 7, 2001.

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4/15/02  
Date

Imation Legal Affairs  
P.O. Box 64898  
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Respectfully submitted,

Eric D. Levinson  
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INFORMATION DISCLOSURE



Atty. Docket No.: 10318US01

Serial No.: 10/053,173

Applicant(s): ANTHONY O. BANAL et al.

Filing Date: January 17, 2002

Group: 3661

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	3,565,978	02/71	Folger et al.			
	4,374,077	02/83	Kerfeld			
	4,726,006	02/88	Benne et al.			
	4,729,938	03/88	Tajima et al.			
	5,149,607	09/92	De Graaf et al.			
	5,458,985	10/95	Isono et al.			
	5,586,109	12/96	Inui et al.			
	5,597,613	01/97	Galarneau et al.			
	6,190,838	02/01	Kerfeld			

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FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Sub-Class	Translation	
						Yes	No
	0 358 276	03/90	European Pat. Office				
	2 676 577	11/92	France			X	
	57-172553	10/82	Japan			X	
	59-114031	06/84	Japan			Abstract Only	
	62-095749	05/87	Japan			X	
	01-301880	12/89	Japan			X	
	3-037842	02/91	Japan			X	
	9-097452	04/97	Japan			X	
	9-138981	05/97	Japan			X	
	WO 97/12279	04/97	PCT				
	WO 99/52105	10/99	PCT				

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OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

	Dill, F. et al., "Characterization of Positive Photoresist," <i>IEEE Transactions on Electron Devices</i> , ED-22, No. 7, pp. 445-452 (Jul. 1975)
	Trefonas, P., et al., "New Principle for Image Enhancement in Single Layer Positive Photoresists," <i>Proc. for SPIE</i> , 771, pp. 194-206 (Mar. 1987)
	Horigome et al., "Novel stamper process for optical disc," <i>Optical Storage Technology and Applications, Proceedings of SPIE-The International Society for Optical Engineering</i> , Los Angeles, CA, January 12-15, 1998, Vol. 899, XP 000010872, pp. 123-128
	Nakamura, Shigeru et al., "High Density Recording for Magneto-optical Disk Drive," <i>IEEE Transactions on Magnetics</i> , 34, No. 2, pp. 411-413 (Mar. 1998)

EXAMINER

Date Considered

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